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List of Patents and Publications
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Disclosure Statement
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ATTY. DKT. NO. 5181-63300

APPLICANT: Saulpaugh et al

FILING DATE: August 31, 2000

SERIAL NO. 09/653,229

GROUP: 2152

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U.S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
Jm	A1	5,455,952	10/3/95	Gjovaag	/	/	
Jm	A2	5,386,568	1/31/95	Wold, et al.	/	/	
Jm	A3	5,423,042	6/6/95	Jalili, et al.	/	/	
Jm	A4	5,481,721	1/2/96	Serlet, et al.	/	/	
Jm	A5	5,557,798	9/17/96	Skeen, et al.	/	/	
Jm	A6	5,944,793	8/31/99	Islam, et al.	/	/	
Jm	A7	5,946,485	8/31/99	Weeren, et al.	/	/	
Jm	A8	5,946,694	8/31/99	Copeland, et al.	/	/	
Jm	A9	4,491,946	1/1/85	Kryshow, Jr., et al.	/	/	
Jm	A10	4,713,806	12/15/87	Oberlander, et al.	/	/	
Jm	A11	4,809,160	2/28/89	Mahon, et al.	/	/	
Jm	A12	4,939,638	7/3/90	Stephenson, et al.	/	/	
Jm	A13	5,088,036	2/11/92	Ellis, et al.	/	/	
Jm	A14	4,823,122	4/18/89	Mann, et al.	/	/	
Jm	A15	4,956,773	9/11/90	Saito, et al.	/	/	
Jm	A16	5,109,486	4/28/92	Seymour	/	/	
Jm	A17	5,187,787	2/16/93	Skeen, et al.	/	/	
Jm	A18	5,218,699	6/8/93	Brandle, et al.	/	/	
Jm	A19	5,257,369	10/26/93	Skeen, et al.	/	/	
Jm	A20	5,293,614	3/8/94	Ferguson, et al.	/	/	
Jm	A21	5,297,283	3/22/94	Kelly, Jr., et al.	/	/	
Jm	A22	5,307,490	4/26/94	Davidson, et al.	/	/	
Jm	A23	5,311,591	5/10/94	Fischer	/	/	
Jm	A24	5,339,435	8/16/94	Lubkin, et al.	/	/	
Jm	A25	5,390,328	2/14/95	Frey, et al.	/	/	
Jm	A26	5,440,744	8/8/95	Jacobson, et al.	/	/	

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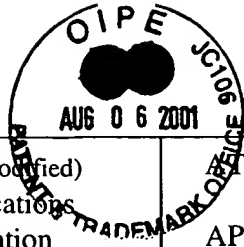
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U.S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
JM	A27	5,448,740	9/5/95	Kiri, et al.	/	/	
JM	A28	5,452,459	9/19/95	Drury, et al.	/	/	
JM	A29	5,471,629	11/28/95	Risch	/	/	
JM	A30	5,475,792	12/12/95	Stanford, et al.	/	/	
JM	A31	5,475,817	12/12/95	Waldo, et al.	/	/	
JM	A32	5,504,921	4/2/96	Dev, et al.	/	/	
JM	A33	5,511,197	4/23/96	Hill, et al.	/	/	
JM	A34	5,524,244	6/4/96	Robinson, et al.	/	/	
JM	A35	5,553,282	9/3/96	Parrish, et al.	/	/	
JM	A36	5,555,367	9/10/96	Premarlani, et al.	/	/	
JM	A37	5,555,427	9/10/96	Aoe, et al.	/	/	
JM	A38	5,560,003	9/24/96	Nilsen, et al.	/	/	
JM	A39	5,561,785	10/1/96	Blandy, et al.	/	/	
JM	A40	5,577,231	11/19/96	Scalzi, et al.	/	/	
JM	A41	5,594,921	1/14/97	Pettus	/	/	
JM	A42	5,603,031	2/11/97	White, et al.	/	/	
JM	A43	5,680,573	10/21/97	Rubin, et al.	/	/	
JM	A44	5,680,617	10/21/97	Gough, et al.	/	/	
JM	A45	5,684,955	11/4/97	Meyer, et al.	/	/	
JM	A46	5,689,709	11/18/97	Corbett, et al.	/	/	
JM	A47	5,706,435	1/6/98	Barbara, et al.	/	/	
JM	A48	5,706,502	1/6/98	Foley, et al.	/	/	
JM	A49	5,724,588	3/3/98	Hill, et al.	/	/	
JM	A50	5,727,145	3/10/98	Nessett, et al.	/	/	
JM	A51	5,617,537	4/1/97	Yamada, et al.	/	/	
JM	A52	5,628,005	5/6/97	Hurvig	/	/	
JM	A53	5,640,564	6/17/97	Hamilton, et al.	/	/	

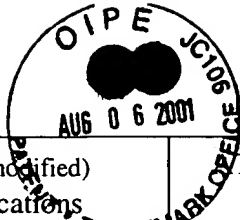
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EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
JM	A54	5,644,768	7/1/97	Periwal, et al.	1	1	
JM	A55	5,652,888	7/29/97	Burgess, et al.	1	1	
JM	A56	5,655,148	8/5/97	Richman, et al.	1	1	
JM	A57	5,659,751	8/19/97	Heninger	1	1	
JM	A58	5,671,225	9/23/97	Hooper, et al.	1	1	
JM	A59	5,675,796	10/7/97	Hodges, et al.	1	1	
JM	A60	5,737,607	4/7/98	Hamilton, et al.	1	1	
JM	A61	5,745,678	4/28/98	Herzberg, et al.	1	1	
JM	A62	5,745,695	4/28/98	Gilchrist, et al.	1	1	
JM	A63	5,745,703	4/28/98	Cejtin, et al.	1	1	
JM	A64	5,745,755	4/28/98	Covey	1	1	
JM	A65	5,748,897	5/5/98	Katiyar	1	1	
JM	A66	5,754,849	5/19/98	Dyer, et al.	1	1	
JM	A67	5,757,925	5/26/98	Faybishenko	1	1	
JM	A68	5,761,656	6/2/98	Ben-Shachar	1	1	
JM	A69	5,764,897	6/9/98	Khalidi	1	1	
JM	A70	5,768,532	6/16/98	Megerian	1	1	
JM	A71	5,774,551	6/30/98	Wu, et al.	1	1	
JM	A72	5,778,228	7/7/98	Wei	1	1	
JM	A73	5,778,368	7/7/98	Hogan, et al.	1	1	
JM	A74	5,787,425	7/28/98	Bigus	1	1	
JM	A75	5,787,431	7/28/98	Shaughnessy	1	1	
JM	A76	5,790,548	8/4/98	Sistanizadeh, et al.	1	1	
JM	A77	5,802,367	9/1/98	Held, et al.	1	1	
JM	A78	5,808,911	9/15/98	Tucker, et al.	1	1	
JM	A79	5,809,507	9/15/98	Cavanaugh, III	1	1	
JM	A80	5,813,013	9/22/98	Shakib, et al.	1	1	

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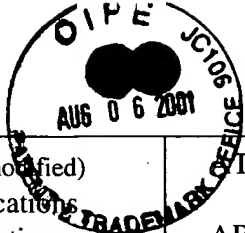
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JM	A81	5,815,149	9/29/98	Mutschler, III et al.	1	1	
JM	A82	5,815,709	9/29/98	Waldo, et al.	1	1	
JM	A83	5,815,711	9/29/98	Sakamoto, et al.	1	1	
JM	A84	5,818,448	10/6/98	Katiyar	1	1	
JM	A85	5,829,022	10/27/98	Watanabe, et al.	1	1	
JM	A86	5,832,219	11/3/98	Pettus	1	1	
JM	A87	5,832,529	11/3/98	Wollrath, et al.	1	1	
JM	A88	5,832,593	11/10/98	Wurst, et al.	1	1	
JM	A89	5,835,737	11/10/98	Sand, et al.	1	1	
JM	A90	5,842,018	11/24/98	Atkinson, et al.	1	1	
JM	A91	5,844,553	12/1/98	Hao, et al.	1	1	
JM	A92	5,845,129	12/1/98	Wendorf, et al.	1	1	
JM	A93	5,860,004	1/12/99	Fowlow, et al.	1	1	
JM	A94	5,860,153	1/12/99	Matena, et al.	1	1	
JM	A95	5,864,862	1/26/99	Kriens, et al.	1	1	
JM	A96	5,864,866	1/26/99	Henckel, et al.	1	1	
JM	A97	5,872,928	2/16/99	Lewis, et al.	1	1	
JM	A98	5,872,973	2/16/99	Mitchell, et al.	1	1	
JM	A99	5,875,335	2/23/99	Beard	1	1	
JM	A100	5,878,411	3/2/99	Burroughs, et al.	1	1	
JM	A101	5,884,024	3/16/99	Lin, et al.	1	1	
JM	A102	5,884,079	3/16/99	Furusawa	1	1	
JM	A103	5,887,134	3/23/99	Ebrahim	1	1	
JM	A104	5,890,158	3/30/99	House, et al.	1	1	
JM	A105	5,892,904	4/6/99	Atkinson, et al.	1	1	
JM	A106	5,933,497	8/3/99	Beetcher, et al.	1	1	
JM	A107	5,935,249	8/10/99	Stern, et al.	1	1	

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B2	Agha, et al., "Actorspaces: An Open Distributed Programming Paradigm," University of Illinois, Report No. UIUCDCS-R-92-1766, Open Systems Laboratory TR NO. 8, pp. 1-12, November 1992.
B3	Ahmed, et al., "A Program Building Tool for Parallel Applications," Yale University, pp. 1-23, December 1, 1993.
B4	Aldrich, et al., "Providing Easier Access to Remote Objects in Client-Server Systems," System Sciences, 1998, Proceedings of the 31 st Hawaii Internat'l. Conference, Jan. 6-9, 1998, pp. 366-375.
B5	Aldrich, et al., "Providing Easier Access to Remote Objects in Distributed Systems," Calif. Institute of Technology, www.cs.Caltech.edu/%7Ejedi/paper.html, Nov. 21, 1997.
B6	Anderson, et al., "Persistent Linda: Linda + Transaction + Query Processing," Proceedings of the 13 th Symposium on Fault Tolerant Systems, pp. 93-109, 1991.
B7	"Transparent Network Computing," Locus Computing Corporation, January 5, 1995.
B8	Alexander, et al., "Active Bridging," Proceedings of the ACM/SIGCOMM'97 Conference, Cannes, France, September, 1997.
B9	Beech, et al., "Object Databases as Generalizations of Relational Databases," Computer Standards & Interfaces, Vol. 13, Nos. 1/3 pp. 221-230, Amsterdam, NL, January 1991.
B10	Bertino, et al., "Object-Oriented Database Management Systems: Concepts and issues," Computer, Vol. 24, No. 4, pp. 33-47, Los Alamitos, CA, April 1991.
B11	Betz, et al., "Interoperable Objects: Laying the Foundation for Distributed Object Computing," Dr. Dobb's Journal, Vol. 19, NO. 11, page 18(13), October 1994.
B12	Bevan, et al., "An Efficient Reference Counting Solution To The Distributed Garbage Collection Problem," Parallel Computing, NL, Elsevier Science Publishers, Amsterdam, Vol. 9, No. 2, pages 179-192, January 1989.
B13	Birrell, et al., "Distributed Garbage Collection for Network Objects," Digital Systems Research Center, NO. 116, pages 1-18, December 15, 1993.
B14	Birrell, et al., "Grapevine: An Exercise in Distributed Computing," Communications fo the ACM, Vol. 25, No. 4, pp. 260-274, April 1982.
B15	Birrell, et al., "Network Objects," DEC SRC Research Report 115, February 28, 1995.
B16	Birrell, et al., "Implementing Remote Procedure Calls," ACM Transactions on Computer Systems, Vol. 2, No. 1, pp. 39-59, February 1994.
B17	Birrell, et al., "Network Objects," Operating Systems Review, 27(5), pp. 217-230, December 1993.
B18	Cannon, et al., "Adding Fault-Tolerant Transaction Processing to LINDA," Software-Practice and Experience, Vol. 24(5), pp. 449-466, May 1994.
B19	Cardelli, "Obliq, A Lightweight Language For Network Objects," Digital SRC, pp. 1-37, November 5, 1993.
B20	Carriero, et al., "Distributed Data Structures in Linda," Principles of Programming Language, pp. 1-16, 1986.

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Joseph Hainan

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21	Carriero, et al., "Distributed Data Structures in Linda," Yale Research Report YALEU/DCS/RR-438, November 1985.
B22	Chung, et al., "A Tiny' Pascal Compiler: Part 1: The P-Code Interpreter," BYTE Publications, Inc., September 1978.
B23	Chung, et al., "A Tiny' Pascal Compiler: Part 2: The P-Compiler," BYTE Publications, Inc., October 1978.
B24	Dave, et al., "Proxies, Application Interface, And Distributed Systems," Proceedings International Workshop On Object Orientation In Operating Systems, pages 212-220, September 24, 1992.
B25	Deux, et al., "The O2 System," Communications Of The Association For Computing Machinery, Col. 34, No. 10, pages 34-48, October 1, 1991.
B26	Dijkstra, "Self-stabilizing Systems in Spite of Distributed Control," Communications of the ACM, Vol 17, No. 11, pp. 643-644, November 1974.
B27	Dolev, et al., "On the Minimal Synchronism Needed for Distributed Consensus," Journal of the ACM, Vol. 34, NO. 1, pp. 77-97, January 1987.
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B29	Dourish, "A Divergence-Based Model of Synchrony and Distribution in Collaborative Systems," Xerox Technical Report EPC-1194-102, pp. 1-10, 1994.
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B34	Fleisch, et al., "High Performance Distributed Objects Using Distributed Shared Memory & Remote Method Invocation," System Sciences, 1998, Proceedings of the 31 st Hawaii Internat'l. Conference, Jan. 6-9, 1998, pp. 574-578.
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B36	Gottlob, et al., "Extending Object-Oriented Systems with Roles," ACM Transactions On Information Systems, Vol. 14, NO. 3, pp. 268-296, July 1996.
B37	Gray, et al. "Leases: An Efficient Fault-Tolerant Mechanism for Distributed File Cache Consistency," ACM, pp. 202-210, 1989.
B38	Guth, "JavaOne: Sun to Expand Java Distriubted Computing Effor," < http://www.sunworld.com/swol-02-1998/swol-02-sunspots.html >, XP-002109935, p.1, February 20, 1998.
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JM	B43	Harris, et al., "Proposal for a General Java Proxy Class for Distributed Systems and Other Uses," Netscape Communications Corp., June 25, 1997.
JM	B44	Hartman, et al., "Liquid Software: A New Paradigm For Networked Systems," Technical Report 96-11, Dept. of Comp. Sci., Univ. of Arizona, June 1996.
JM	B45	Howard, et al., "Scale and Performance in a Distributed File System," ACM Transactions on Computer Systems, Vol. 6, No. 1, pp. 51-81, February 1988.
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JM	B47	Pinakis, "Using Linda as the Basis of an Operating System Microkernel," University of Western Australia, Dept. of Computer Science, pp. 1-165, August 1993.
JM	B48	Riggs, et al., "Picking State in the Java TM System," USENIX, Association Conference on Object-Oriented Technologies and Systems, CP-002112719, pp. 241-250, June 17-21, 1996.
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JM	B50	Sharrott, et al., "ObjectMap: Integrated High Performance Resources into a Distributed Object-oriented Environment," ICODP, 1995.
JM	B51	Stevenson, "Token-Based Consistency of Replicated Servers," IEEE, CH2686-4/89/0000/0179, pp. 179-183, 1989.
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JM	B53	Venners, "Jini Technology, Out of the Box," JAVAWORLD, 'Online!', pp. 1-4, December 1998.
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JM	B55	Wilson, et al., "Design of the Opportunistic Garbage Collector," Proceedings of the Object Oriented Programming Systems Languages And Applications Conference, New Orleans, Vol. 24, No. 10, October 1989.
JM	B56	Wollrath, et al., "A Distributed Object Model for theJaca TM System," USENIX Association, Conference on Object-Oriented Technologies and Systems, June 17-21, 1996.
JM	B57	Wu, "A Type System For An Object-Oriented Database Systems," Proceedings of the International Computer Software and Applications Conference (COMPSAC), Tokyo, Japan, pp. 333-338, Sept. 11-13, 1991.
JM	B58	Yemini, et al., "Towards Programmable Networks," IFIP/IEEE International Workshop on Distributed Systems: Operations and Management, L'Aquila, Italy, October 1996.
JM	B59	Yin, et al., "Using Leases to Support Server Driven Consistency in Large-Scale Systems," Computer Services Department, University of Texas at Austin, p. 285-294, May 26-28, 1998.

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B61	Mitchell, et al., "An Overview of the Spring System," February 1994.
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ATTY. DKT. NO. 5181-63300

APPLICANT: Saulpaugh et al.

FILING DATE: August 31, 2000

SERIAL NO. 09/653,229

GROUP: 2152

U.S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
JM	D1	5,778,187	7/7/98	Monteiro, et al.	-	-	
JM	D2	5,832,529	11/3/98	Wollrath, et al.	-	-	
JM	D3	6,016,500	1/18/00	Waldo, et al.	-	-	

FOREIGN PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
JM	C1	11-45187	10/10/97	JP	-	-	
JM	C2	2 253 079	8/26/92	GB	-	-	
JM	C3	2 262 825	6/30/93	GB	-	-	
JM	C4	2 305 087	3/26/97	GB	-	-	
JM	C5	300 516	1/25/89	EP	-	-	
JM	C6	351 536	1/24/90	EP	-	-	
JM	C7	384 339	8/29/90	EP	-	-	
JM	C8	472 874	3/4/92	EP	-	-	
JM	C9	474 340	3/11/92	EP	-	-	
JM	C10	497 022	8/5/92	EP	-	-	
JM	C11	555 997	8/18/93	EP	-	-	
JM	C12	565 849	10/20/93	EP	-	-	
JM	C13	569 195	11/10/93	EP	-	-	
JM	C14	697 655	2/21/96	EP	-	-	
JM	C15	660 231	6/28/95	EP	-	-	
JM	C16	651 328	5/3/95	EP	-	-	
JM	C17	625 750	11/23/94	EP	-	-	
JM	C18	718 761	6/26/96	EP	-	-	
JM	C19	767 432	4/9/97	EP	-	-	
JM	C20	778 520	6/11/97	EP	-	-	
JM	C21	794 493	9/10/97	EP	-	-	

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JM		803 810	10/29/97	EP	—	—	
JM	C23	803 811	10/29/97	EP	—	—	
JM	C24	805 393	11/5/97	EP	—	—	
JM	C25	810 524	12/3/97	EP	—	—	
JM	C26	817 020	1/7/98	EP	—	—	
JM	C27	817 022	1/7/98	EP	—	—	
JM	C28	817 025	1/7/98	EP	—	—	
JM	C29	836 140	4/15/98	EP	—	—	
JM	C30	92/07335	4/30/92	WO	—	—	
JM	C31	92/09948	6/11/92	WO	—	—	
JM	C32	93/25962	12/23/93	WO	—	—	
JM	C33	94/03855	2/17/94	WO	—	—	
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JM	C35	96/03692	2/8/96	WO	—	—	
JM	C36	96/18947	6/20/96	WO	—	—	
JM	C37	96/24099	8/8/96	WO	—	—	
JM	C38	98/02814	1/22/98	WO	—	—	
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JM	C42	Mullender, "Distributed Systems," Second Edition, Addison-Wesley, 1993.
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JM	D4	"SOAP: Simple Object Access Protocol," msdn online Web Workshop, Microsoft, April 26, 2000, http://msdn.Microsoft.com/xml/general/soapspec.asp , 34 pages.
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